

Risk Screen	
File Reports	
Customer Name: Customer #1	Contract Name: Denver
Risk Factor Name	Risk Factor Description
Governing Law	An acceptable governing law for the contract must be stated. GECS standard is NY State, USA. For contracts regarding a facility outside the US, laws of England are acceptable
Dispute Resolution	
Excusable Delay	
Selected Premise	
Governing Law Other than US State or England	
Other Performance Guarantee >3% Down	
LD only Guarantee	
LD Cap >20 % for Frame Units or >10% for LM	
LD not Sole Remedy	
Other Performance Deviation	
Contract	
Contract	102
Customer Name	Customer #1
CMS Opportunity Id	123456
ITB Number	
Commercial Director	Employee 1
Contract Manager	Employee 3
Original Date	1/3/2001
Contract Close Date	7/3/2001
Risk Factor Selection	
New Risk Factor Description	
Reasonable performance guarantees respecting availability, reliability, heat rate and/or output may be included. Acceptable confidence levels must apply. All guarantees must be determined by acceptable, specified measurement at specified times(s). No continuous guarantees are to be offered. Guarantee levels must be in accordance with modeling and technical reviews. Incentive only preferred. Any potential downside must be structured as liquidated damages (LDs). At least equal upside opportunity must be included. LDs must be the sole and exclusive remedy/liability in the event of a shortfall. LDs must be subject to individual and aggregate caps for each year. All Guarantees must be generalized and approved by a Risk Analyst before submission to the customer. Document the analyst's name in the variation section below. LM Notes: Added 18 April 01 - Maximum Availability	
Available Premise	
Performance Guarantee	
Other Performance Guarantee >3% Down	
LD only Guarantee	
LD Cap >20 % for Frame Units or >10% for LM	
LD not Sole Remedy	
Other Performance Deviation	
Terms & Conditions	
Variation	
Person Details	

Fig. 1

30-Nov-2001 3:06 PM

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Fig. 2

Risk Screen	
File Reports	
Customer Name	Customer #1
Risk Factor Name	
Governing Law	An acceptable governing law for the contract must be stated. GEIS standard is NY State, USA. For contracts regarding a facility outside the US, laws of England are acceptable
Dispute Resolution	
Excusable Delay	
Contract Name: Deal #1	
Risk Factor Description	
An acceptable governing law for the contract must be stated. GEIS standard is NY State, USA. For contracts regarding a facility outside the US, laws of England are acceptable	
Risk Factor Selection	
Contracted Performance	
Available Premise	
Performance Guarantee	
Other Performance Guarantee	
Performance Guarantee >3% Down	
LD only Guarantee	
LD Cap >20 % for Frame Units or >10% for LM	
LD not Sole Remedy	
Other Performance Deviation	
Contract	
Terms & Conditions	
Promises	GEIS Standard: Standard of Care: Reasonable care, skill, and knowledge in the performance of services, and knowledge of applicable laws and regulations.
Required Approvals	GEIS Standard: Contracts must be reviewed by Legal, Risk, and Financial departments before being signed.
Specific Variation	
Approver List	
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314	316
Approved List	
Deal Complete	
Approval Status	<input checked="" type="radio"/> Not Approved <input type="radio"/> Partially Approved <input type="radio"/> Fully Approved
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Fig. 3

Handwritten annotations:

- 102: Points to the 'Customer Name' field.
- 104: Points to the 'Contract Name' field.
- 106: Points to the 'Risk Factor Selection' dropdown menu.
- 400: Points to the 'Main Menu' button.
- 108: Points to the 'New Record' button.
- 110: Brackets the 'Risk Factor Name' and 'Risk Factor Description' fields.
- 114: Brackets the 'Risk Factor Selection' and 'Selected Premise' fields.
- 116: Points to the 'Selected Premise' dropdown menu.
- 112: Brackets the 'Contract', 'Terms & Conditions', 'Variation', and 'Person Details' sections.
- 122: Points to the 'Person Details' section.
- 402: Points to the 'First Name' field (John).
- 404: Points to the 'Position' field (Risk Management, Black Dot).
- 406: Points to the 'Phone Number' field (770) 555-5555.
- 408: Points to the 'E-Mail Address' field (john.doe@aol.com).
- 410: Points to the 'Update' button.
- 412: Points to the 'Main Menu' button.

Risk Screen

Customer Name **Contract Name**

Risk Factor Name **Risk Factor Description**

Risk Factor Selection

Selected Premise

Contract	Terms & Conditions	Variation	Person Details
First Name: John	Last Name: Doe	M.I.: <input type="text"/>	3 402
Position: Risk Management, Black Dot			404
Phone Number: (770) 555-5555			406
E-Mail Address: john.doe@aol.com			408

Main Menu

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Fig. 4

Risk Report

502 {

Contract Name: Vancouver
 Contract Number: 7-YM2G4
 Contract Manager: Jane Doe

504 {

Risk Factor: Governing Law

<u>Premise Name</u>	<u>Status</u>	<u>Change Date</u>	<u>User ID</u>
Governing Law Other Than U.S.	Not Approved	7/28/01 4:50pm	jane.doe@abl.com

505 {

→ Variation: Province of British Columbia

Approvals Received: Jane Smith

Approvals Still Required: Alice Doe
Becky Doe

Risk Factor: Dispute Resolution

-
-
-

Fig. 5

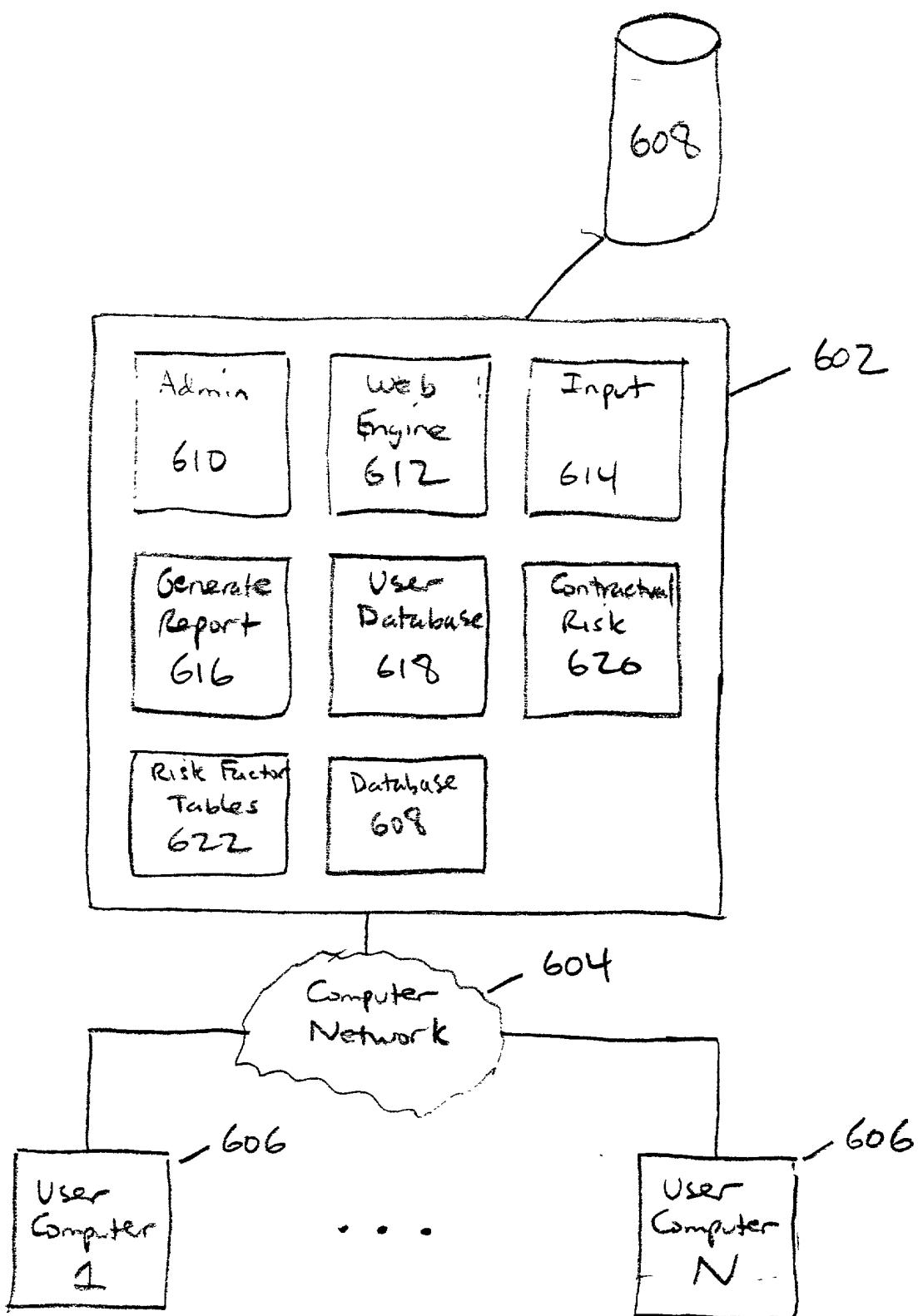


Fig. 6

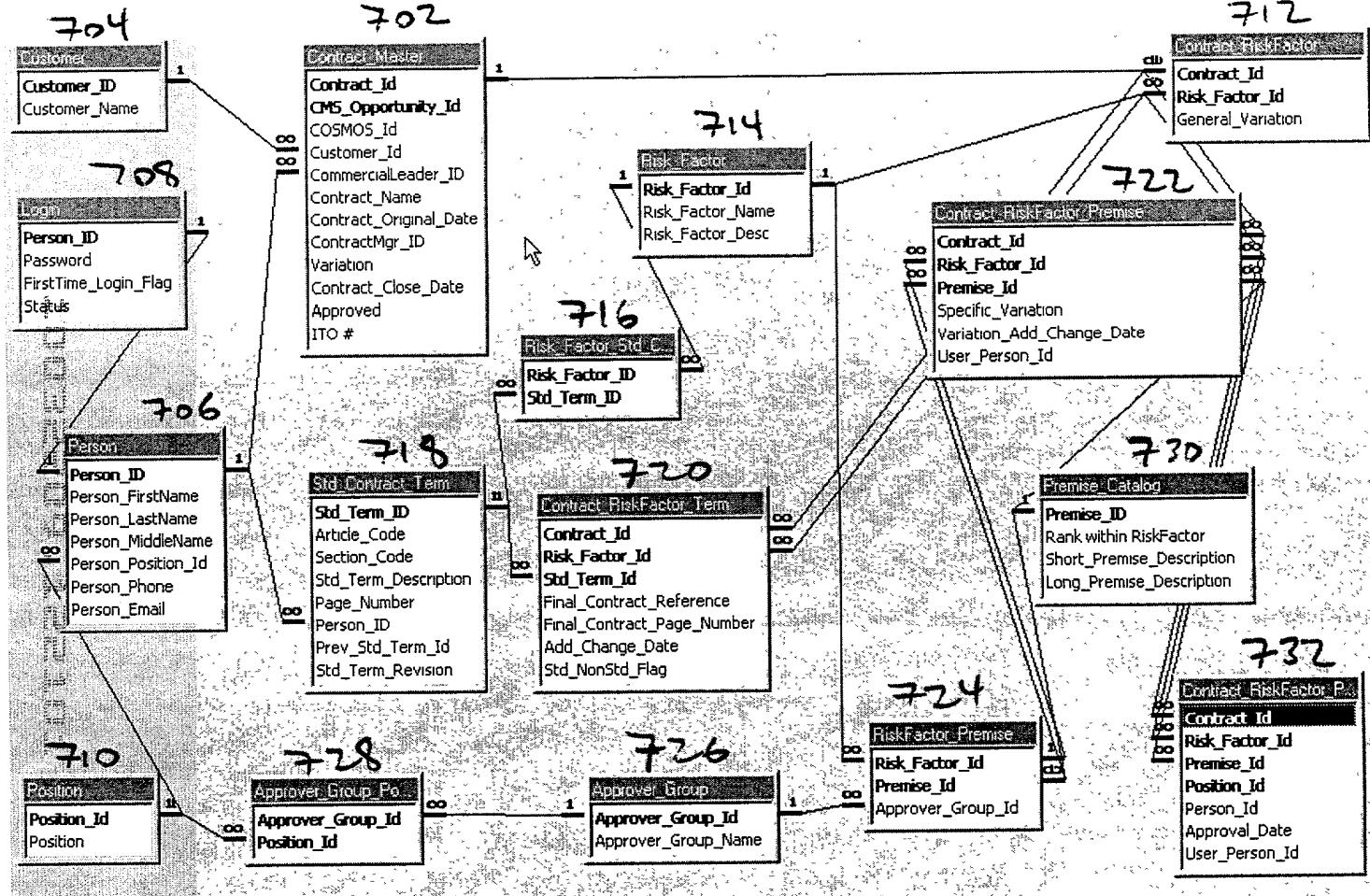


fig. 7

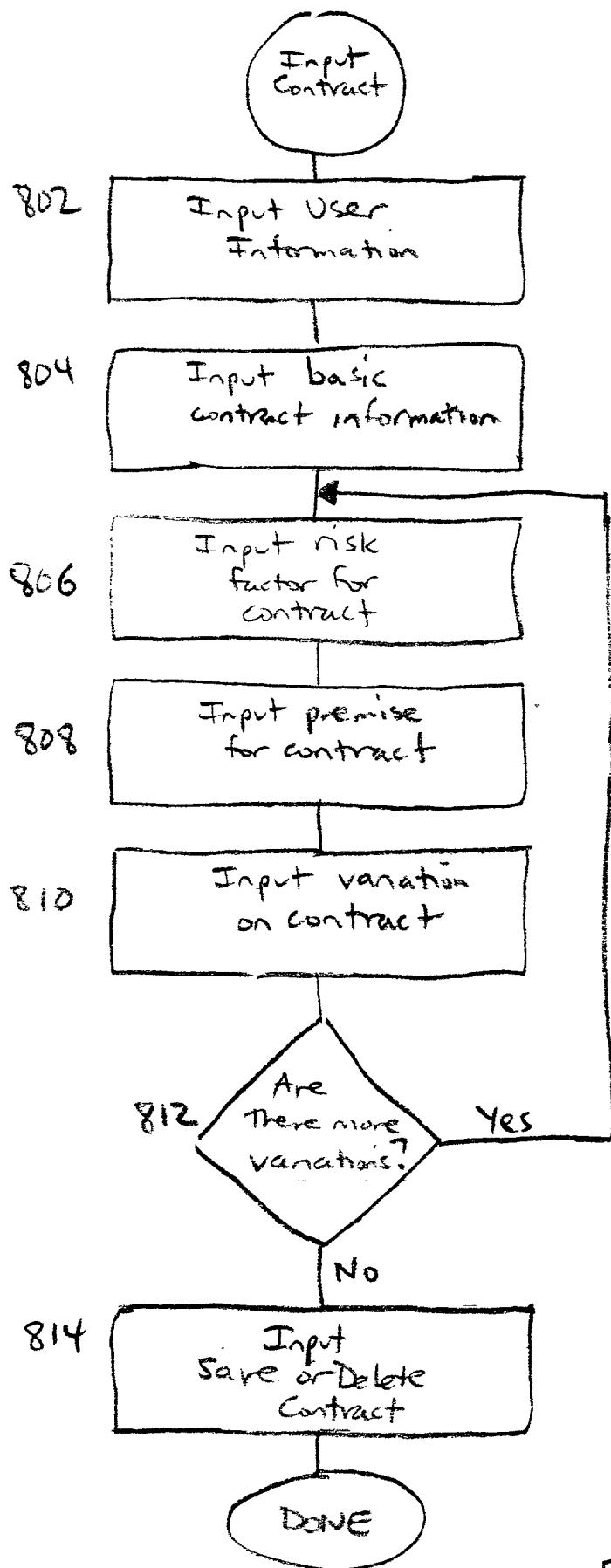


Fig. 8

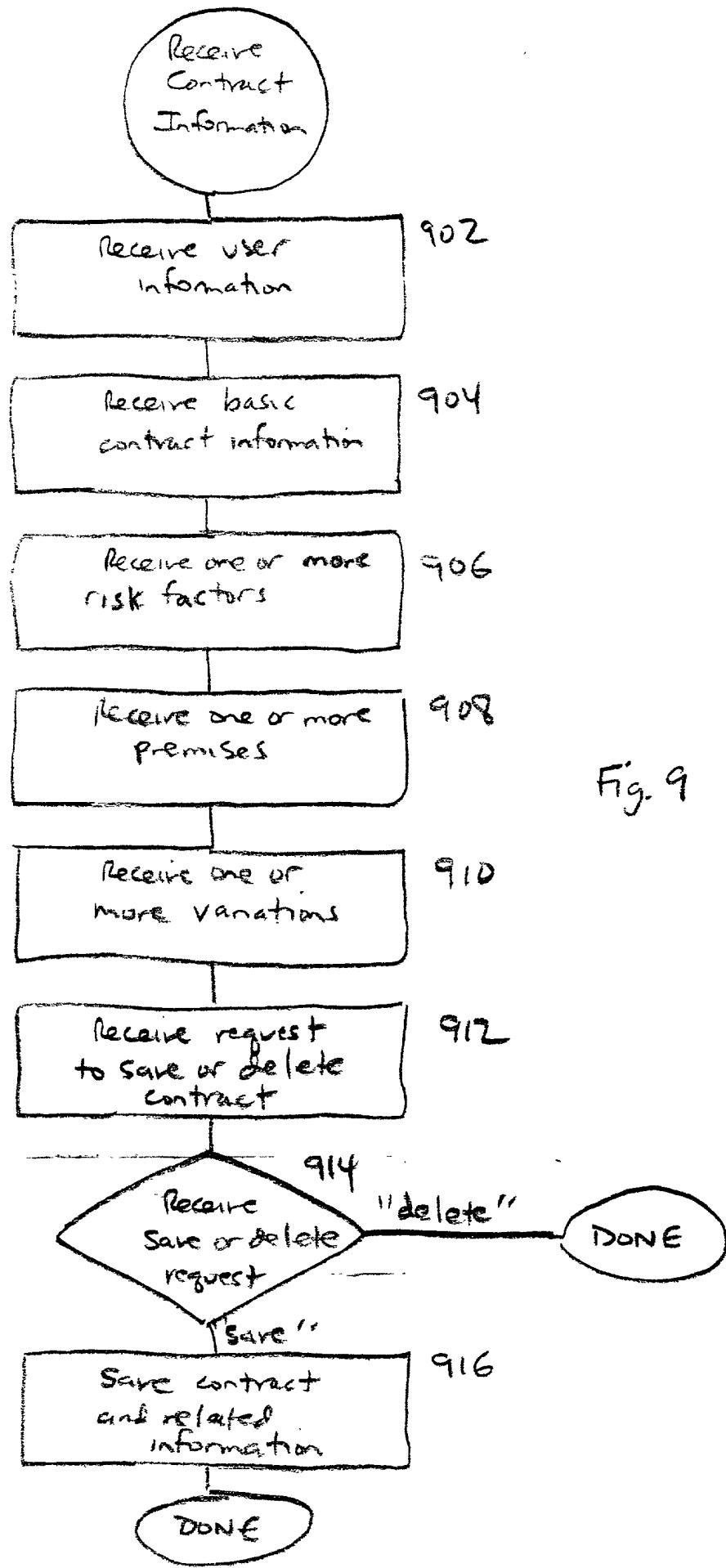


Fig. 9

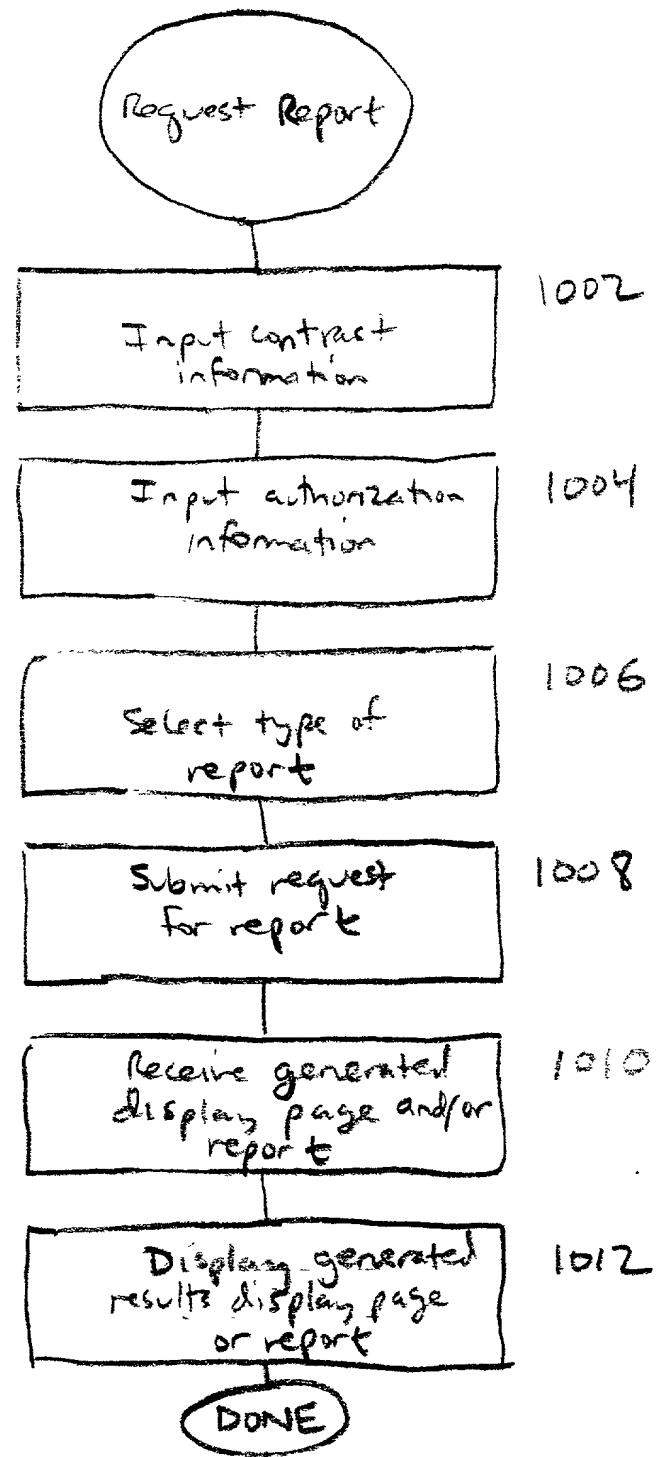


Fig. 1D

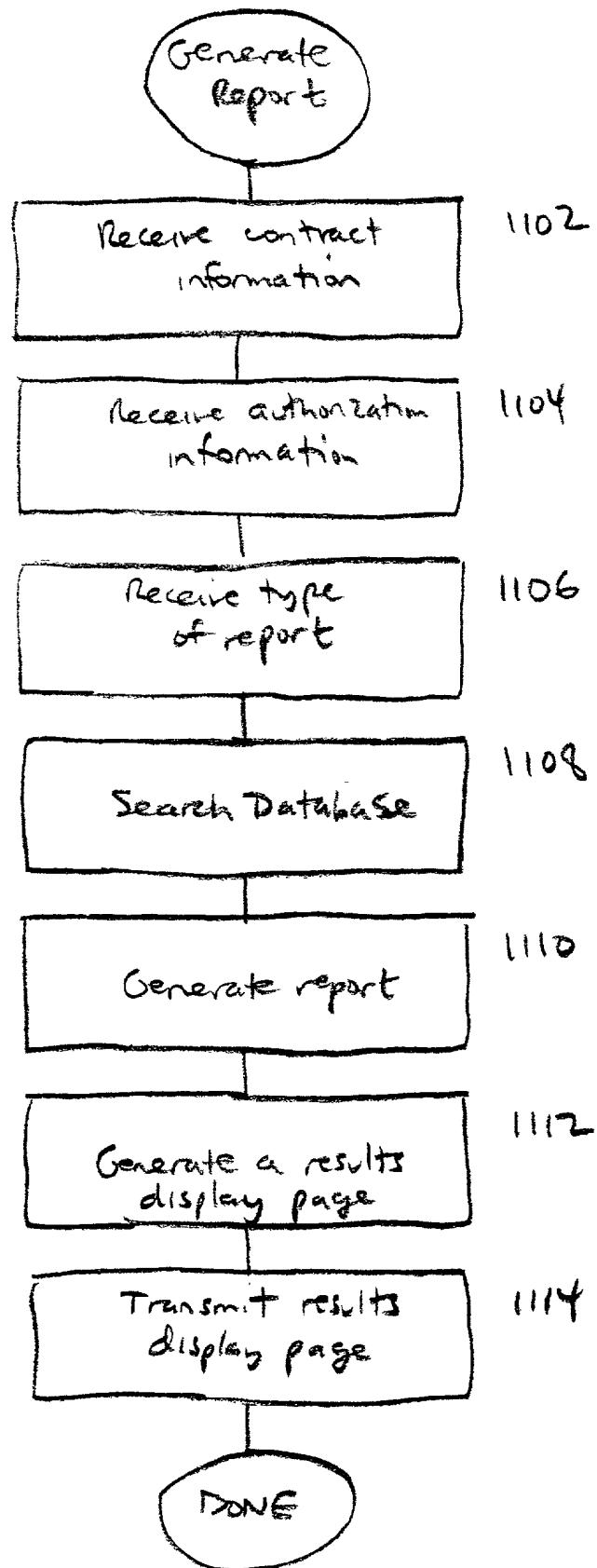


Fig. 11

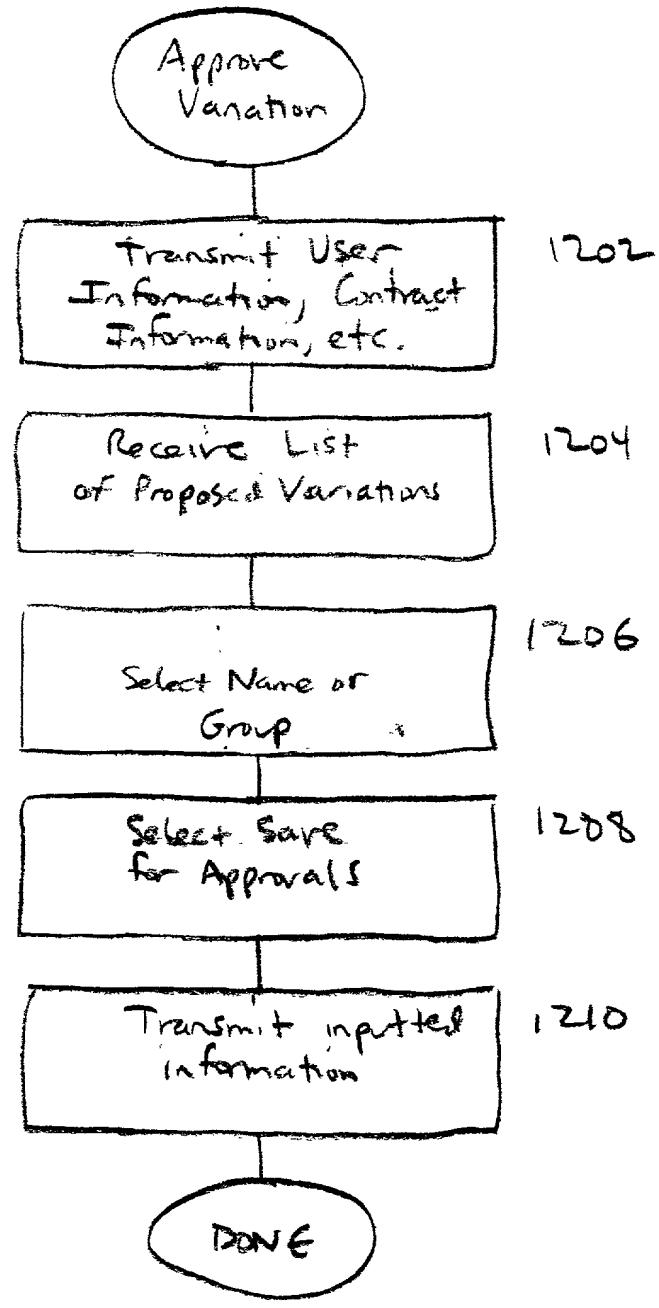


Fig. 12

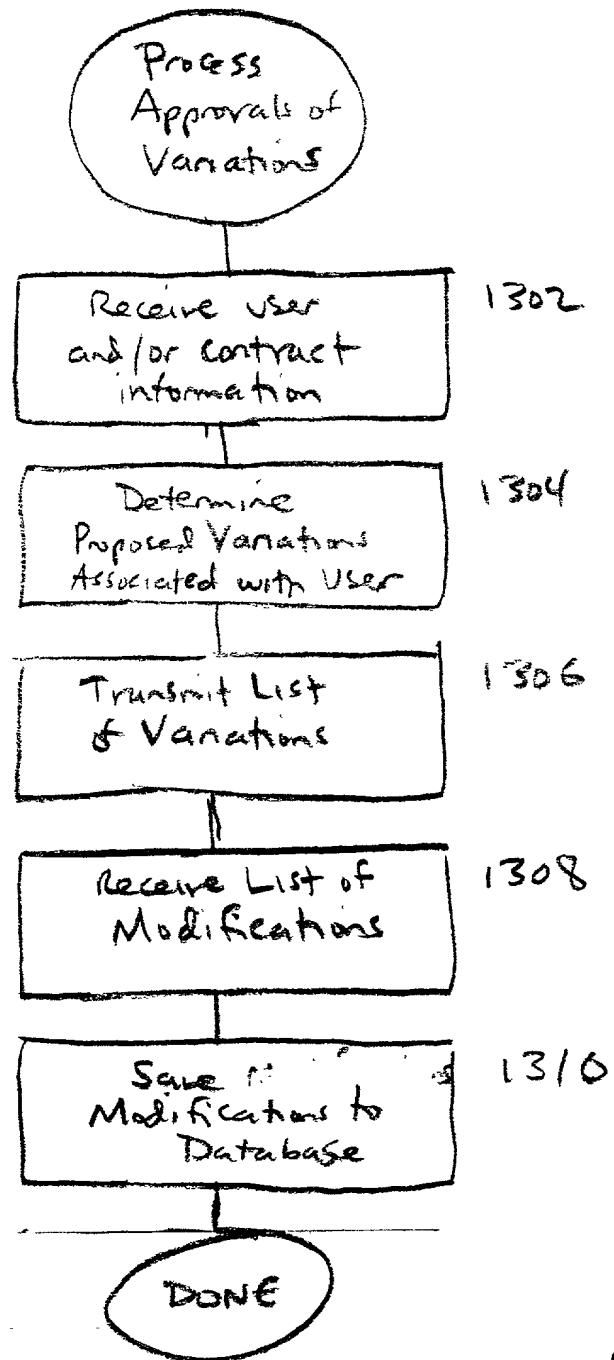


Fig. 13